MARKEL

o DEERFIELD INSURANCE COMPANY
o ESSEX INSURANCE COMPANY
o EVANSTON INSURANCE COMPANY
o MARKEL AMERICAN INSURANCE COMPANY
o MARKEL INSURANCE COMPANY

BSR
PO Box 768 · Hendersonville, TN 37075
(T) 800-768-7475 · (F) 615-264-3980
www.bsrins.com

# SUPPLEMENT FOR NEUROMONITORING – INTEROPERATIVE SERVICES

All questions MUST be completed in full.

If space is insufficient to answer any question fully, attach a separate sheet.

1. Full name of Applicant: _____

2. Number of employed neurophysiologist technicians?_____

3. Provide the percent of neurophysiologist technicians that have the following certification:
   CNIM _____%
   DABNIM _____%
   Other (describe) _____ _____%

4. Number of surgical cases:
   (i) estimated for the next twelve months _____
   (ii) last twelve months _____

5. Does the Applicant have any contracted neurophysiology technicians?..... [ ] Yes [ ] No
   If Yes,
   (a) Number of contracted neurophysiology technicians? _____
   (b) Does the Applicant require all contracted neurophysiology technicians to carry their own Professional Liability Insurance? ..... [ ] Yes [ ] No
   If Yes,
   (i) What are the minimum limits of liability that are required? _____
   (ii) Does the Applicant require Certificates of Insurance?..... [ ] Yes [ ] No

6. Does the Applicant have any employed or contract physicians that provide remote monitoring in conjunction with neurophysiology technicians?..... [ ] Yes [ ] No
   (a) If Yes,
   (i) What percentage of total cases are monitored by a physician? _____
   (ii) Is coverage requested for physician(s)?..... [ ] Yes [ ] No
   If coverage is requested for physician(s), complete our Application for Physicians & Surgeons Professional Liability Insurance (MM -30000) for each physician.
   a. If No, coverage is not requested:
   i. What are the minimum limits of liability that the Applicant requires physicians to carry? _____
   ii. Does the Applicant require Certificates of Insurance?..... [ ] Yes [ ] No
   (b) If No, is remote monitoring done by non-physician medical professionals?..... [ ] Yes [ ] No
   If Yes,
   (i) What percentage of total cases are remotely monitored by non-physician medical professionals? _____
   (ii) Describe the training of non-physician medical professionals that provide remote monitoring. _____
   _____
   (c) Does the Applicant require all contracted physicians and contracted non-physician medical professional that provide remote monitoring their own Professional Liability Insurance? [ ] Yes [ ] No
   If Yes,
   (i) What are the minimum limits of liability that are required? _____
   (ii) Does the Applicant require Certificates of Insurance?..... [ ] Yes [ ] No

7. Provide the percent of annual cases by surgery type:
   Cardiothoracic _____%
   Interventional Radiology _____%
   Neurosurgery _____%
   Orthopedic _____%
   Ololaryngology _____%
   Vascular _____%
   Other (describe) _____ _____%

8. Provide the following information for all states in which the Applicant operates:

| State | Percentage of Cases |
|---|---|
| _____ | _____% |
| _____ | _____% |
| _____ | _____% |
| _____ | _____% |
| _____ | _____% |
| _____ | _____% |

9. Does the Applicant have a training school?..... [ ] Yes [ ] No
   (a) If Yes, describe the training provided. _____

10. Does the Applicant:
   (a) Verify the neurophysiology technician's experience in all types of modalities and match up with appropriate surgical cases?..... [ ] Yes [ ] No
   (b) Have a formal Quality Control Program to review adverse cases? ..... [ ] Yes [ ] No
   (c) Store patient data and reports for a minimum of seven years?..... [ ] Yes [ ] No

Signing this Supplement does not bind the Company to provide or the Applicant to purchase the insurance.

It is understood that information submitted herein becomes a part of the application for insurance and is subject to the same declarations, representations and conditions.

Must be signed by director, executive officer, partner or equivalent (within 60 days of the proposed effective date).

_____ _____
Name of Applicant Title

_____ _____
Signature of Applicant Date

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# APPLICATION FOR SPECIFIED MEDICAL PROFESSIONS FOR PROFESSIONAL LIABILITY INSURANCE
**(Claims Made Basis)**

**APPLICANT'S INSTRUCTIONS**:

1. Answer all questions. If the answer requires detail, please attach a separate sheet.
2. Application must be signed and dated by owner, partner or officer.
3. Please do not complete application earlier than 45 days before proposed effective date of coverage.
4. PLEASE READ CAREFULLY THE STATEMENTS AT THE END OF THIS APPLICATION.

(PLEASE TYPE OR PRINT IN INK)

## 1. APPLICANT INFORMATION

a. Full name of Applicant (include professional degree if applicant is an individual): _____

_____

b. Principal business premise address: _____
(Street) (County)

_____
(City) (State) (Zip)

Please attach a list of additional office addresses.

c. Number of Employees: Full time _____ Part time _____ Seasonal _____ Total _____

d. Business Phone: (____) _____ Home Phone: (____) _____

e. Date of Birth:_____ Place of Birth: _____

Are you a U.S. citizen? [ ] Yes [ ] No. If No, your status, date of entry into USA: _____

f. Square feet of total office space (all locations): _____

g. Your practice:

[ ] Solo practitioner (unincorporated) [ ] Professional corporation (for profit)
[ ] Solo practitioner (incorporated) [ ] Professional corporation (non-profit)
[ ] Partnership [ ] Employee of _____
[ ] Professional Association (Give name of employer)
[ ] Other (please describe)_____

h. Formal business, corporate or partnership name:

i. Please list the names of all partners or members of your professional association/corporation who provide professional services: _____

_____

j. Please attach a copy of your letterhead.

k. Is the Applicant a "Covered Entity" under the Health Insurance Portability and Accountability Act of 1996 (HIPAA) Privacy Rule? ..... [ ] Yes [ ] No

If yes,

(i) Has the Applicant implemented procedures to comply with the HIPAA Privacy Rule?..... [ ] Yes [ ] No

(ii) Provide the name and title of the Applicant's Privacy Officer._____

Our Business Associate Agreement is available at www.markelcorp.com. This is the only Business Associate Agreement we will recognize.

## 2. EDUCATION/EXPERIENCE (Individual Applicant Only)

| Institution Name and Address | Years of Training | Degree or Certification Attained |
|---|---|---|
| _____ | From _____ To _____ | _____ |
| _____ | From _____ To _____ | _____ |
| _____ | From _____ To _____ | _____ |

(i) Where have you practiced your profession during the last ten years?

In _____ From _____ To_____

In _____ From _____ To_____

In _____ From _____ To_____

(ii) Have you ever failed any professional licensing or specialty organization examination? .....[ ] Yes [ ] No
If yes, please attach a detailed explanation including the dates and location.

## 3. APPLICANT PRACTICE

a. Please list all the states where you are licensed to practice. If NONE, please attach an explanation. _____

_____

b. Please indicate your professional specialty (CHECK ONE):

| | | |
|---|---|---|
| [ ] Chiropractor | [ ] Naprapath | [ ] Pharmacist |
| [ ] Counselor ( Describe) | [ ] Nurse, Licensed Practical | [ ] Physical Therapist |
| _____ | [ ] Nurse, Registered | [ ] Psychologist |
| [ ] Dental Hygienist | [ ] Nurses Registry | [ ] Social Worker |
| [ ] Hearing Aid Fitter | [ ] Occupational Therapist | [ ] Speech Therapist |
| [ ] Home Health Care Agcy. | [ ] Optician | [ ] Veterinarian |
| [ ] Inhalation Therapist | [ ] Optometrist | [ ] Visiting Nurse Assoc. |
| [ ] Laboratory Technician | [ ] Orthotist | [ ] X-ray Technician |
| [ ] Medical Personnel Pool | [ ] Perfusionist | [ ] Other (Specify) _____ |

c. Please indicate the sources and amounts of actual and projected revenue:

| Source | Amount This Fiscal Year | Amount Next Fiscal Year |
|---|---|---|
| (i) Charitable Contributions: | $_____ | $_____ |
| (ii) Government Funding: | $_____ | $_____ |
| (iii) Fee for Services: | $_____ | $_____ |
| (iv) Other:_____ | $_____ | $_____ |
| **TOTAL GROSS REVENUE** | **$_____** | **$_____** |

d. Please provide the number of patient or client visits:

| Type of Visit | Number of Visits Last 12 Months | Number of Visits Next 12 Months |
|---|---|---|
| Clinic | _____ | _____ |
| Laboratory | _____ | _____ |
| Other (specify)_____ | _____ | _____ |
| **TOTAL NUMBER OF VISITS** | _____ | _____ |

e. Please specify any professional societies or associations in which you are a member: _____

_____

f. Are you associated with or do you work for a physician or surgeon?.....[ ] Yes [ ] No
If yes, please give the name and the specialty of the physician: _____

g. Please give the approximate percentage of time spent in the following work locations:

| | | |
|---|---|---|
| _____% Administrative Office | _____% Laboratory | _____% Hospital Ward (specify) |
| _____% Classroom | _____% Operating Room | _____ |
| _____% Emergency Dept of Hospital | _____% Outpatient Clinic | _____% Professional Office (specify profession) |
| _____% Nursing Home | _____% Patient's Home | _____ |
| _____% Other (specify) _____ | | |

h. Please indicate the approximate division of your patients or clients among:

| | | |
|---|---|---|
| _____% Hemodialysis | _____% Psychiatric | _____% Bariatrics |
| _____% Holistic Medicine | _____% Drug Addicts | _____% Physical Rehabilitation |
| _____% Surgical | _____% Alcoholics | _____% Disability Evaluation |
| _____% Stress Testing | _____% Obstetrical | _____% Research or Experimental |
| _____% Communicable | _____% Dental | _____% _____ |
| _____% Family Planning | _____% Pediatric | _____% _____ |

i. Please indicate the number and type of your employees and/or volunteers. IF NONE, STATE NONE.

| Type of Profession | No. | Type of Profession | No. |
|---|---|---|---|
| Inhalation Therapists | _____ | Opticians | _____ |
| Laboratory Technicians | _____ | Optometrists | _____ |
| Nurse Anesthetists | _____ | Perfusionists | _____ |
| Nurses, Licensed Practical | _____ | Pharmacists | _____ |
| Nurse Practitioner | _____ | Physiotherapists | _____ |
| Nurses, Registered | _____ | Social Workers | _____ |
| Speech Therapists | _____ | Other (please specify) | _____ |

j. Are all of the above individuals licensed in accordance with applicable state and federal regulations? .. [ ] Yes [ ] No
If no, please attach an explanation.

## 4. APPLICANT PROCEDURES

a. Do you render professional services directly to patients? [ ] Yes [ ] No. If yes, please describe in detail and indicate the extent of supervision by others.

| **Description of Professional Services** | **Percent of Time Supervised** | **Qualifications of Supervisor** |
|---|---|---|
| _____ | _____ % | _____ |
| _____ | _____ % | _____ |
| _____ | _____ % | _____ |

b. Do you render professional services that do not involve contact with a patient? [ ] Yes [ ] No. If yes, please describe these services in detail. _____

_____

c. (i) Do you perform or assist in any surgical procedures? [ ] Yes [ ] No

(ii) Please list ALL surgical procedures performed (including minor surgery): _____

_____

_____

(iii) Is anesthesia (other than topical or by means of local infiltration) administered by either yourself or others? [ ] Yes [ ] No. If yes, please attach a detailed explanation.

(iv) Do you perform or assist in any surgical procedure(s) in a professional office or similar non-hospital facility? [ ] Yes [ ] No. If yes, please attach a detailed explanation.

d. Do you perform radiation therapy?..... [ ] Yes [ ] No

e. Do you perform psychiatric shock therapy? ..... [ ] Yes [ ] No

f. Do you compound in bulk, manufacture or wholesale medicine?..... [ ] Yes [ ] No
If yes, please provide a detailed explanation. _____

g. (i) Do you perform veterinary services? ..... [ ] Yes [ ] No

If yes, please indicate the approximate division of your work among the following categories.

_____ % Greyhounds _____ % Thoroughbreds

_____ % Animals valued over $5,000.

Please attach an explanation including the frequency and the type(s) of animals treated.

h. Do you administer artificial insemination? ..... [ ] Yes [ ] No

If yes, please answer the following questions:

(i) What type(s) of animals are involved? _____

(ii) Are you responsible for the storage of the semen? ..... [ ] Yes [ ] No

If yes, please explain. _____

_____

(iii) What percent of your practice is involved with artificial insemination? _____ %

i. Are you ever responsible for identifying contagious diseases in your locality and/or for recommending remedial action? ..... [ ] Yes [ ] No

If yes, please attach a detailed explanation.

## 5. PERSONNEL

a. Please list the number and type of independent contractors who provide professional services on your behalf. IF NONE, STATE NONE.

| No. | Type of Profession | No. | Type of Profession | No. | Type of Profession |
|---|---|---|---|---|---|
| _____ | Inhalation Therapists | _____ | Laboratory Technicians | _____ | Nurse Anesthetists |
| _____ | Nurses, Licensed Practical | _____ | Nurse Practitioner | _____ | Nurse, Registered |
| _____ | Opticians | _____ | Optometrists | _____ | Perfusionists |
| _____ | Pharmacists | _____ | Physiotherapists | _____ | Social Workers |
| _____ | Speech Therapists | _____ | Other (specify)_____ | | |

b. Do you supervise any individuals who are not your own employees? [ ] Yes [ ] No. If yes, please provide a detailed explanation of responsibilities and relationships to the entity which employs these individuals.

c. Please indicate by profession the number of individuals you supervise.

| No. | Type of Profession | No. | Type of Profession |
|---|---|---|---|
| ____ | Physicians | ____ | Laboratory technicians |
| ____ | X-ray technicians | ____ | Other (please specify):_____ |

## 6. APPLICANT AFFILIATIONS

a. Do you own or operate any business other than that shown in Question 1(a) above? ..... [ ] Yes [ ] No

If yes, please give details on a separate sheet.

b. Are you employed by any individual or entity other than that shown in Question 1(a) above? ..... [ ] Yes [ ] No

If yes, please attach an explanation describing details of your responsibilities.

c. Are you under contract to any individual or entity other than that shown in Question 1(a) above? ..... [ ] Yes [ ] No

If yes, please attach an explanation describing details of your responsibilities. If your contract contains a hold-harmless agreement, a copy of the contract must be attached.

d. Are you employed by or under contract to any government entity? ..... [ ] Yes [ ] No

If yes, please attach an explanation including the details of your responsibilities.

e. Do you advertise your professional services in any manner (other than a simple listing in a telephone directory)? ..... [ ] Yes [ ] No

If yes, please attach a copy of ALL of your advertisements.

f. Are you associated with any agency or organization that engages in any kind of advertising for, or solicitation of, patients? ..... [ ] Yes [ ] No

If yes, please attach a detailed explanation and a copy of ALL of your advertisements.

g. Do you own (wholly or in part), operate, or administer any hospital, nursing home or other institutions where medical services are customarily rendered? [ ] Yes [ ] No
If yes, please give details including the name, location, size and number of beds.

h. If you have a training school, please complete the following. Attach a separate sheet if needed.

| Specify Profession For Which Students Are Being Trained | Max. No. Of Students Per Session | No. of Sessions Per Year | % of Time Involved in Clinical Setting | Number of Faculty | Qualifications of Faculty (e.g. MD, RN, PhD, etc.) |
|---|---|---|---|---|---|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

i. (i) Do you use a collection agency? [ ] Yes [ ] No
If yes, please state the name of the agency

(ii) Does the agency have the authority to file a collection suit at its discretion? [ ] Yes [ ] No

## 7. APPLICANT HISTORY/CLAIMS

(Attach a detailed explanation for any YES answers)

a. Have you or any of your employees:

(i) Ever been the subject of disciplinary or investigative proceedings or reprimand by a governmental or administrative agency, hospital or professional association? [ ] Yes [ ] No

(ii) Ever been convicted for an act committed in violation of any law or ordinance other than traffic offenses? [ ] Yes [ ] No

(iii) Ever been treated for alcoholism or drug addiction? [ ] Yes [ ] No

(iv) Ever had any state professional license or license to prescribe or dispense narcotics refused, suspended, revoked, renewal refuses or accepted only on special terms or ever voluntarily surrendered same? [ ] Yes [ ] No

(v) Ever had any insurance company or Lloyd's cancel, decline, refuse to renew or accept only on special terms their malpractice insurance? [ ] Yes [ ] No

b. Please list prior professional liability insurance carried for each of the past four years. IF NONE, STATE NONE.

| Insurance Carrier | Policy Number | Limits of Liability | Deductible (If any) | Premium | Inception Mo./Day/Yr. | Expiration Mo./Day/Yr. | Was this a Claims Made Policy Form? Yes | Was this a Claims Made Policy Form? No | Retro Date |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | [ ] | [ ] | |
| | | | | | | | [ ] | [ ] | |
| | | | | | | | [ ] | [ ] | |
| | | | | | | | [ ] | [ ] | |

c. Does the Applicant currently participate in or plan to participate in a state patient compensation fund, health care stabilization fund or other governmentally established malpractice liability funding mechanism? [ ] Yes [ ] No

d. Has any claim or suit been brought against you and/or any of your employees? [ ] Yes [ ] No

If yes, a Supplemental Claim Information Form must be completed for each claim or suit.

e. Are you aware of any circumstances which may result in a malpractice claim or suit being made or brought against you or any of your employees? [ ] Yes [ ] No
If yes, please give details on a separate sheet.

* NOTICE TO APPLICANT: The coverage applied for is SOLELY AS STATED IN THE POLICY, which provides coverage on a "CLAIMS MADE" basis for ONLY THOSE CLAIMS THAT ARE FIRST MADE AGAINST THE INSURED DURING THE POLICY PERIOD unless the extended reporting period option is exercised in accordance with the terms of the policy.

WARRANTY: I/We warrant to the Insurer, that I understand and accept the notice stated above and that the information contained herein is true and that it shall be the basis of the policy of insurance and deemed incorporated therein, should the Insurer evidence its acceptance of this application by issuance of a policy. **I/We authorize the release of claim information from any prior insurer to the underwriting manager, Company and/or affiliates thereof.**

| | |
|---|---|
| Name of Applicant | Title (Officer, partner, etc.) |
| Signature of Applicant | Date |

SIGNING this application does not bind the Applicant or the Insurer or the Underwriting Manager to complete the insurance, but one copy of this application will be attached to the policy, if issued.